

COPY PAPERS
ORIGINALLY FILED



PATENT APPLICATION

Corres and Mail

Attorney Docket: Y0999-276 (8728-299)

AF/2700

In re application of:

BOY AF

Serial No.:

09/374,374

Group: Art Unit 2654

Filed:

August 13, 1999

Examiner: Angela A. Armstrong

Re:

METHOD FOR DETERMINING AND MAINTAINING DIALOG FOCUS IN A
CONVERSATIONAL SPEECH SYSTEM

RECEIVED

AUG 08 2002

Technology Center 2600

Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
- [] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
- [X] No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDIT. RATE FEE	ADDIT. RATE FEE
TOTAL	25 * MINUS	25 **	= 0	X 9 \$	X 18 \$ 0
INDEP.	3 * MINUS	3 ***	= 0	X 42 \$	X 84 \$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 140 \$	X 280 \$
				TOTAL ADDIT. FEE \$0	OR TOTAL \$ 0

- * If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter 20.
- *** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

[] Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$____. Two (2) copies of this sheet are enclosed.

[] A check in the amount of \$____ is enclosed.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

F. CHAU & ASSOCIATES, LLP
1900 Hempstead Turnpike
Suite 501
East Meadow, NY 11554
(516) 357-0091

Frank V. DeRosa
Reg. No. 43,584
Attorney for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on July 29, 2002.

Dated:

7/29/02

Frank V. DeRosa

COPY OF PAPERS
ORIGINALLY FILED



#9
AA
8/8/02

**RESPONSE UNDER 37 CFR 1.116
- EXPEDITED PROCEDURE -
EXAMINING GROUP ART UNIT 2654**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Coffman et al.

Examiner: A. Armstrong

Serial No.: 09/374,374

Group Art Unit: 2654

Filed: August 13, 1999

Docket: YO999-276 (8728-299) Technology Center 2600

RECEIVED
AUG 08 2002

**For: METHOD FOR DETERMINING AND MAINTAINING
DIALOG FOCUS IN A CONVERSATIONAL SPEECH SYSTEM**

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE

In response to the Final Office Action dated May 28, 2002, Applicants respectfully request reconsideration of the claim rejections based on the following remarks.

CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 July 29, 2002.

Date: July 29, 2002


Frank DeRosa